

FORM PTO-1449 U.S. DEPAL

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

List of Information Cited by Applicant
Page 1 of 3

ATTY. DOCKET NO.	SERIAL NO.
00038.03CON	10/792,012
APPLICANT	
Hale, et al.	
FILING DATE	GROUP
March 03, 2004	1616

	•		U.S. PATEN	T DOCUMENTS			
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
11/	AA	10/057,198	10/26/2001	Lloyd et al.	i	1	
	AB	10/146,088	05/13/2002	Hale et al.		<u> </u>	<u></u>
	AC	10/280,315	10/25/2002	Shen			
	AD	10/302,614	11/21/2002	Lu			
	AE	10/322,227	12/17/2002	Novack et al.			
	ÀF	10/442,385	05/20/2003	Cross et al.			
	AG	10/719,540	11/20/2003	Hale et al.			
	AH	10/750,303	12/30/2003	Rabinowitz et al.			
	A.I	10/850,895	05/20/2004	Damani et al.			
	AJ.	10/851,018	05/20/2004	Hale et al.			
	AK	10/851,429	05/20/2004	Hale et al.			
	AL.	10/851,432	05/20/2004	Hale et al.			
	AM	10/851,883	05/20/2004	Hale et al.			
	AN	10/861,554	06/03/2004	Cross et al.			
	AO	10/912,417	08/04/2004	Bennett et al.			
	AP	10/917,720	08/12/2004	Hale et al.			
m	AQ	10/917,735	08/12/2004	Hale et al.			

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EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS	TRANS		
M	AR	GB 502 761	03/24/1939	Great Britain		11			
M	AS	WO 94/09842	05/11/1994	WIPO					
M	AT	WO 97/36574	10/09/1997	WIPO					

		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
011	AU	Office Action mailed 12/04/03 for US App. No. 10/057,198 filed 10/26/01,
		"Method And Device For Delivering A Physiologically Active Compound"
7	AV	Office Action mailed 01/12/05 for US App. No. 10/057,197 filed 10/26/01,
	<u> </u>	"Aerosol Generating Device And Method"
	AW	Office Action mailed 06/03/04 for US App. No. 10/057,197 filed 10/26/01,
	}	"Aerosol Generating Device And Method"
	AX	Office Action mailed 12/15/03 for US App. No. 10/057,197 filed 10/26/01,
		"Aerosol Generating Device And Method"
A/	AY	Office Action mailed 02/27/04 for US App. No. 10/146,080 filed 05/13/02,
		"Aerosol Forming Device For Use In Inhalation Therapy"
EXAMINER HATEL		DATE CONSIDERED 9/28/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SERIAL NO. **FORM PTO-1449** ATTY. DOCKET NO. U.S. DEPARTMENT OF COMMERCE PATENT 10/792,012 00038.03CON AND TRADEMARK OFFICE **APPLICANT** List of Information Cited by Applicant Hale, et al. Page 2 of 3 GROUP FILING DATE 1616 March 03, 2004

			U.S. PATI	ENT DOCUMENTS		***	_	
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	С	CLŞ		FILE DATE
W	BA	3,219,533	11/23/1965	Mullins				
1	ВВ	3,949,743	04/13/1976	Shanbrom				
	вс	3,982,095	09/21/1976	Robinson		<u> </u>		_
	BD	4,141,369	02/27/1979	Burruss		_		
	BE	4,303,083	12/01/1981	Burruss, Jr.				
	BF	4,474,191	10/02/1984	Steiner				
	BG	4,484,576	11/27/1984	Albarda				
	ВН	4,566,451	01/28/1986	Badewien				
	Bi	4,708,151	11/24/1987	Shelar				
	BJ	4,734,560	03/29/1988	Bowen				
	BK	4,735,217	04/05/1988	Gerth et al.				
	BL	4,819,665	04/11/1989	Roberts et al.				
	ВМ	4,848,374	07/18/1989	Chard et al.				
	BN	4,853,517	08/01/1989	Bowen et al.				
	ВО	4,906,417	03/06/1990	Gentry				
	BP	4,917,119	04/17/1990	Potter et al.				
	BQ	4,924,883	05/15/1990	Perfetti et al.				
_	BR	4,941,483	07/17/1990	Ridings et al.				
	BS	4,963,289	10/16/1990	Ortiz et al.				
	BT	5,042,509	08/27/1991	Banerjee et al.				
	BU	5,060,671	10/29/1991	Counts et al.				
	BV	5,099,861	03/31/1992	Clearman et al.				
	BW	5,135,009	08/04/1992	Muller et al.				
	BX	5,144,962	09/08/1992	Counts et al.				
	ву	5,146,915	09/15/1992	Montgomery				
	BZ	5,224,498	07/06/1993	Deevi et al.				
	BAA	5,345,951	09/13/1994	Serrano et al.				
.	BBB	5,366,770	11/22/1994	Wang				
	ВСС	5,456,247	10/10/1995	Shilling et al.				
	BDD	5,511,726	04/30/1996	Greenspan et al.				
	BEE	5,564,442	10/15/1996	MacDonald et al.				
	BPF	5,592,934	01/14/1997	Thwaites				
	BGG	5,605,146	02/25/1997	Sarela				
M	вин	5,649,554	07/22/1997	Sprinkel et al.		1		
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M	CA	5,666,977	09/16/1997	Higgins et al.			<u> </u>	
i	СВ	5,743,251	04/28/1998	Howell et al.				
	СС	5,767,117	06/16/1998	Moskowitz				
	ÇD	5,819,756	10/13/1998	Mielordt				
	CE	5,840,246	11/24/1998	Hammons et al.				
	CF	5,855,913	01/05/1999	Hanes et al.				
	CG	5,874,481	02/23/1999	Weers et al.				
	CH	5,894,841	04/20/1999	Voges				
	CI	5,918,595	07/06/1999	Olsson et al.				
	CI	6,090,212	07/18/2000	Mahawili				
	СК	6,095,134	08/01/2000	Sievers et al.			•	
	CL	6,131,570	10/17/2000	Schuster et al.				
	СМ	6,136,295	10/24/2000	Edwards et al.				
	CN	6,155,268	12/05/2000	Takeuchi				
	со	6,158,431	12/12/2000	Poole				
	CP	6,234,167	05/22/2001	Cox et al.				
	CQ	6,306,431	10/23/2001	Zhang et al.				
	CR	6,376,550	04/23/2002	Raber et al.				
	cs	6,461,591	10/08/2002	Keller et al.				
	СТ	6,632,047	10/14/2003	Vinegar et al.			1	
	CU	6,701,922	03/09/2004	Hindle et al.				
	cv	6,772,756	08/10/2004	Shayan				
	CW	2002/0117175	08/29/2002	Kottayil et al.				
M	сх	2004/0016427	01/29/2004	Byron et al.				

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	cz	WO 01/05459	01/25/2001	WIPO				
	CAA	WO 01/95903	12/20/2001	WIPO				
	СВВ	WO 02/00198	01/03/2002	WIPO				
	ccc	WO 03/37412	05/08/2003	WIPO				
M	CDD		1,					
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